## Annexure II: Features of bidding platform , Bulk Upload File Formats and rejection codes

### Features of bidding platform

- The web based bidding platform can be accessed from the below URL: https://bidcollection.nseindia.com/login.

- The bidding platform has the following features
  - ✓ Manual order entry/modification/cancellation
  - ✓ Bulk entry/modification/cancellation through file upload.
  - ✓ Bulk upload files format would as provided below in Annexure (**IIA**).
  - ✓ API mode is also available for order entry/modification/cancellation
  - ✓ Orders can be entered for demat as well as physical shares.
  - ✓ Custodial participant orders shall be sent to respective custodian for confirmation by clearing corporation
  - ✓ Online RTA verification status, for physical orders.
  - ✓ RTA verification rejection report along with rejection reason as provided by RTA.
  - ✓ Facility to modify physical orders rejected by RTA.
  - ✓ Facility to modify orders rejected by Custodian.
  - ✓ Transaction Registration Slip download.
  - ✓ Download facility of orders and order history.
  - ✓ **Allotment and rejection details (if applicable) shall be displayed**
  - ✓ Special withdrawal window in case if Counter Offer is triggered post tender offer Delisting issue.
    - Separate window for Counter offer mechanism for delisting.
    - File formats for bulk order entry of Counter offer shall be the same format used for bulk upload of tender offer. Separate symbol shall be provided to identify between counter delisting and withdrawal (existing symbol of tender offer delisting)
    - All valid & active of bids of delisting offer shall be available for withdrawal
    - Withdrawal shall be at bid level, partial withdrawal shall not be allowed
    - Modification/Cancellation facility not available for withdrawn bids
    - Bids once withdrawn cannot be reversed
    - Custodian approval not required for withdrawal of CP bids
    - Early pay-in details shall be updated post withdrawal

**Annexure II (A)**

### 1.1 Bulk upload – input format

| Sr. No | Field Name | Character Alpha / Numeric | Mandatory (M)/ Non-Mandatory (NM) | Remarks |
|---|---|---|---|---|
| 1 | Symbol | Char 12 | M | |
| 2 | Series | AlphaNumeric 2 | M | |
| 3 | Application No. | Char 20 | NM | |
| 4 | Category | AlphaNumeric 5 | M | Value = FI/FII/IC/MF/OTH/ /CO/IND/NOH |
| 5 | Bid Quantity | Numeric 15 | M | |
| 6 | Rate / Price | Numeric 6.2 | M | |
| 7 | Client code. | AlphaNumeric 10 | M | |
| 8 | Client Name | Char 50 | NM | |
| 9 | PAN No | AlphaNumeric 10 | M | Mandatory for demat. Optional for Physical |
| 10 | Physical/Demat Flag | Char 1 | M | ***P for Physical*** ***D- for Demat*** |
| 11 | Custodial Participant Code | AlphaNumeric 12 | NM | |
| 12 | Depository Name | Char 4 | M | NSDL or CDSL |
| 13 | DP ID | AlphaNumeric 8 | M | In case of NSDL 8 digit For CDSL blank |
| 14 | Client ID / Beneficiary ID | AlphaNumeric 16 | M | If CDSL then 16 digit If NSDL then 8 digit |
| 15 | Folio Number | AlphaNumeric 16 | M | For physical only Blank in case of Demat |
| 16 | Certificate Number 1 | AlphaNumeric 16 | M | For physical only Blank in case of Demat |
| 17 | Distinctive Nos From 1 | AlphaNumeric 16 | M | For physical only Blank in case of Demat |
| 18 | Distinctive Nos To1 | AlphaNumeric 16 | M | For physical only Blank in case of Demat |
| 19 | Certificate Number 2 | AlphaNumeric 16 | NM | For physical only Blank in case of Demat |
| 20 | Distinctive Nos From 2 | AlphaNumeric 16 | NM | For physical only Blank in case of Demat |
| 21 | Distinctive Nos To 2 | AlphaNumeric 16 | NM | For physical only Blank in case of Demat |
| 22 | Certificate Number 3 | AlphaNumeric 16 | NM | For physical only Blank in case of Demat |
| 23 | Distinctive Nos From 3 | AlphaNumeric 16 | NM | For physical only Blank in case of Demat |

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| | | | | |
|---|---|---|---|---|
| 24 | Distinctive Nos To 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 25 | Reference Number | AlphaNumeric 16 | NM | |
| 26 | Order Number | Numeric<br>16 | | Put 0 or blank for New order ( will be replaced by order number in response file)<br>**For Modification/deletion input order number** |
| 27 | Activity Type<br>(N-new order<br>M - Order modification<br>D- Deletion) | Char 1 | M | **N-New entry**<br>**M-Modification**<br>**D-Deletion** |

**1.2 Success response file format**

| Sr. No | Field Name | Character Alpha / Numeric | Mandatory (M)/ Non-Mandatory (NM) | Remarks |
|---|---|---|---|---|
| 1 | Symbol | Char 12 | M | |
| 2 | Series | AlphaNumeric 2 | M | |
| 3 | Application No. | Char 20 | NM | Value = FI/FII/IC/MF/OTH/ /CO/IND/NOH |
| 4 | Category | AlphaNumeric 5 | M | |
| 5 | Bid Quantity | Numeric 15 | M | |
| 6 | Rate / Price | Numeric 6.2 | M | |
| 7 | Client code. | AlphaNumeric 10 | M | |
| 8 | Client Name | Char 50 | NM | |
| 9 | PAN No | AlphaNumeric 10 | M | Mandatory for demat. Optional for Physical |
| 10 | Physical/Demat Flag | Char 1 | M | ***P for Physical***<br>***D- for Demat*** |
| 11 | Custodial Participant Code | AlphaNumeric 12 | NM | |
| 12 | Depository Name | Char 4 | M | NSDL or CDSL |
| 13 | DP ID | AlphaNumeric 8 | M | In case of NSDL 8 digit<br>For CDSL blank |
| 14 | Client ID / Beneficiary ID | AlphaNumeric 16 | M | If CDSL then 16 digit<br>If NSDL then 8 digit |
| 15 | Folio Number | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 16 | Certificate Number 1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 17 | Distinctive Nos From 1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 18 | Distinctive Nos To1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |

| | | | | |
|---|---|---|---|---|
| 19 | Certificate Number 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 20 | Distinctive Nos From 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 21 | Distinctive Nos To 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 22 | Certificate Number 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 23 | Distinctive Nos From 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 24 | Distinctive Nos To 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 25 | Reference Number | AlphaNumeric 16 | NM | |
| 26 | Order Number | Numeric<br>16 | | Eg YYYYMMDDNNNNNNN where 'NNNNNNN' is a running number starting from 0000001<br>Eg 201509240000001 |
| 27 | Activity Type<br>(N-new order<br>M - Order modification<br>D- Deletion) | Char 1 | M | N-New entry<br>M-Modification<br>D-Deletion |
| 28 | User | Char 30 | M | Will be the login id |

### 1.3 Error response file format

| Sr. No | Field Name | Character Alpha / Numeric | Mandatory (M)/ Non-Mandatory (NM) | Remarks |
|---|---|---|---|---|
| 1 | Symbol | Char 12 | M | |
| 2 | Series | AlphaNumeric 2 | M | |
| 3 | Application No. | Char 20 | NM | |
| 4 | Category | AlphaNumeric 5 | M | Value = FI/FII/IC/MF/OTH/ /CO/IND/NOH |
| 5 | Bid Quantity | Numeric 15 | M | |
| 6 | Rate / Price | Numeric 6.2 | M | |
| 7 | Client code. | AlphaNumeric 10 | M | |
| 8 | Client Name | Char 50 | NM | |
| 9 | PAN No | AlphaNumeric 10 | M | Mandatory for demat. Optional for Physical |
| 10 | Physical/Demat Flag | Char 1 | M | ***P for Physical***<br>***D- for Demat*** |
| 11 | Custodial Participant Code | AlphaNumeric 12 | NM | |

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| | | | | |
|---|---|---|---|---|
| 12 | Depository Name | Char 4 | M | NSDL or CDSL |
| 13 | DP ID | AlphaNumeric 8 | M | In case of NSDL 8 digit<br>For CDSL blank |
| 14 | Client ID / Beneficiary ID | AlphaNumeric 16 | M | If CDSL then 16 digit<br>If NSDL then 8 digit |
| 15 | Folio Number | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 16 | Certificate Number 1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 17 | Distinctive Nos From 1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 18 | Distinctive Nos To1 | AlphaNumeric 16 | M | For physical only<br>Blank in case of Demat |
| 19 | Certificate Number 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 20 | Distinctive Nos From 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 21 | Distinctive Nos To 2 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 22 | Certificate Number 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 23 | Distinctive Nos From 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 24 | Distinctive Nos To 3 | AlphaNumeric 16 | NM | For physical only<br>Blank in case of Demat |
| 25 | Reference Number | AlphaNumeric 16 | NM | |
| 26 | Order Number | Numeric<br>16 | | Eg YYYYMMDDNNNNNNN<br>where 'NNNNNNN' is a running number starting from 0000001<br>Eg 201509240000001 |
| 27 | Activity Type<br>(N-new order<br>M - Order modification<br>D- Deletion) | Char 1 | M | N-New entry<br>M-Modification<br>D-Deletion |
| 28 | User | Char 30 | M | Will be the login id |
| 29 | Error message | Char 100 | NM | |

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**Rejection codes**

Exchange shall display the below rejection code as received from RTA in the allotment file.

| Rejection code | Reason | Applicability |
|---|---|---|
| **01** | JOINT HOLDER NOT SIGNED ON TRANSFER DEED | ALL OFFERs |
| **02** | OTHER COMPANY CERTIFICATE | ALL OFFERs |
| **03** | SIGNATURE DIFFER SOLE / FIRST HOLDER | ALL OFFERs |
| **04** | SIGNATURE DIFFER JOINT HOLDER /S | ALL OFFERs |
| **05** | TRANSMISSION NOT COMPLETED | ALL OFFERs |
| **06** | TRANSFER DEED NOT RECEIVED | ALL OFFERs |
| **07** | INVALID TRANSFER DEED | ALL OFFERs |
| **08** | MARKET PURCHASE WITHOUTVALID BROKER NOTE | ALL OFFERs |
| **09** | ORIGINAL SHARE CERTIFICATE NOT RECEIVED | ALL OFFERs |
| **10** | DEATH CERTIFICATE NOT RECEIVED | ALL OFFERs |
| **11** | DEATH CERTIFICATE NOT ATTESTED | ALL OFFERs |
| **12** | POA NOT REGISTERED WITH REGISTRAR | ALL OFFERs |
| **13** | STOP TRANSFER | ALL OFFERs |
| **14** | OLD SHARE CERTIFICATE | ALL OFFERs |
| **15** | ALLOTMENT ON PROPORTIONATE BASIS | ALL OFFERs EXCEPT DELISTING |
| **16** | SHARES NOT ELIGIBLE AS ON RECORD DATE | BUY BACK OFFER |
| **17** | DEMAT A/C & NAME MISMATCH | BUY BACK OFFER |
| **18** | EXCESS SHARES TENDER THAN ELIGIBILITY | BUY BACK OFFER |
| **19** | BIDDED ABOVE EXIT PRICE | DELISTING OFFER |
| **20** | DUPLICATE BID | ALL OFFERs |
| **21** | BIDDED QUANTITY MORE THAN PHYSICAL QUANTITY RECEIVED | ALL OFFERs |
| **22** | PHYSICAL SHARES BIDDED BUT CERTIFICATE NOT RECEIVED | ALL OFFERs |
| **23** | PHYSICAL SHARES RECEIVED AFTER CLOSE OF BID WINDOW | ALL OFFERs |
| **24** | FORM NOT RECEIVED | ALL OFFERs |
| **25** | PAN MISMATCH | ALL OFFERs |
| **26** | MISCELLANEOUS | ALL OFFERs |

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